



Zero-Shot Learning in NLP: Techniques for Generalizing to Unseen Tasks and Domains

Kurez Oroy and Chris Liu

EasyChair preprints are intended for rapid dissemination of research results and are integrated with the rest of EasyChair.

February 24, 2024

Zero-shot Learning in NLP: Techniques for Generalizing to Unseen Tasks and Domains

Kurez Oroy, Chris Liu

Abstract:

Zero-shot learning (ZSL) in Natural Language Processing (NLP) is a burgeoning field aimed at enabling models to generalize to tasks and domains not seen during training. This paper explores various techniques and strategies employed to achieve such generalization. Traditional NLP models often struggle when confronted with unseen tasks or domains due to their reliance on annotated data. ZSL approaches address this limitation by leveraging auxiliary information such as semantic embeddings, ontologies, or textual descriptions to bridge the gap between seen and unseen classes. By embracing ZSL techniques, NLP practitioners can enhance the adaptability and robustness of their models, thereby advancing the frontier of natural language understanding and generation.

Keywords: Zero-shot learning, Natural Language Processing (NLP), Generalization, Unseen tasks, Unseen domains, Transfer learning, Meta-learning, Few-shot learning, Semantic embeddings

Introduction:

Natural Language Processing (NLP) has witnessed significant advancements in recent years, with models achieving remarkable performance on various tasks such as language translation, sentiment analysis, and text generation[1]. However, a key challenge persists: the ability to generalize to unseen tasks and domains. Traditional supervised learning approaches excel when provided with ample labeled data from the same task and domain they are tested on. However, they often struggle when faced with tasks or domains not encountered during training, a scenario known as the zero-shot learning (ZSL) problem. Zero-shot learning in NLP aims to address this challenge by enabling models to generalize to new tasks or domains without explicit training data. This is achieved by leveraging auxiliary information, such as semantic embeddings, ontologies, or textual descriptions,

to facilitate knowledge transfer between seen and unseen classes[2]. By doing so, ZSL approaches offer a promising avenue for enhancing the adaptability and robustness of NLP models in real-world scenarios where labeled data may be scarce or costly to obtain. This paper provides an overview of techniques and methodologies employed in zero-shot learning for NLP, focusing on how these approaches enable generalization to unseen tasks and domains. This paper explores the foundational concepts of transfer learning, meta-learning, and few-shot learning, highlighting their strengths and limitations in the context of zero-shot NLP. Furthermore, this paper discusses the challenges inherent in zero-shot learning, including data scarcity, domain shift, and semantic misalignment, and propose potential avenues for mitigating these challenges. By examining the state-of-the-art techniques and addressing the key challenges in zero-shot learning for NLP, this paper aims to provide insights into advancing the adaptability and robustness of NLP models, ultimately pushing the frontier of natural language understanding and generation[3].

In the realm of Natural Language Processing (NLP), the ability of models to generalize to unseen tasks and domains is a crucial challenge. Traditional supervised learning paradigms often require large amounts of annotated data specific to each task or domain of interest. However, in real-world scenarios, it is impractical to have annotated data for every possible task or domain. This limitation hinders the scalability and adaptability of NLP systems. Zero-shot learning (ZSL) offers a promising solution to this challenge by enabling models to generalize to tasks or domains that were not encountered during training. ZSL approaches strive to bridge the gap between seen and unseen classes by leveraging auxiliary information or semantic representations[4]. By doing so, these models can infer meaningful insights and make accurate predictions even in novel situations. These challenges pose significant obstacles to achieving robust zero-shot generalization and require careful consideration in model design and training. Overall, the adoption of ZSL techniques in NLP holds great promise for enhancing the adaptability and robustness of NLP systems. By enabling models to generalize to unseen tasks and domains, ZSL opens up new possibilities for advancing natural language understanding and generation in real-world applications.

In the realm of Natural Language Processing (NLP), the ability of machine learning models to generalize to unseen tasks and domains is crucial for real-world applicability[5]. Traditional supervised learning approaches excel when ample labeled data is available for all target tasks and domains. However, in many practical scenarios, deploying models across diverse tasks or domains necessitates adaptation to new conditions without access to labeled samples. Zero-shot learning (ZSL) emerges

as a compelling solution to this challenge, offering techniques to enable models to perform adequately on unseen tasks or domains. The essence of ZSL lies in its capacity to leverage auxiliary information during training to facilitate generalization to novel tasks or domains. This auxiliary information often takes the form of semantic embeddings, ontologies, textual descriptions, or other forms of structured knowledge. By incorporating such knowledge, ZSL methods aim to bridge the semantic gap between seen and unseen classes, allowing models to make informed predictions even in the absence of labeled data[6].

Techniques for Generalizing in Zero-Shot Learning for NLP:

Zero-shot learning (ZSL) stands as a promising paradigm within Natural Language Processing (NLP), offering techniques that enable models to generalize to tasks and domains not encountered during training[7]. In the context of NLP, where the diversity of tasks and domains is vast and ever-expanding, the ability to adapt to novel scenarios without relying on extensive labeled data is of paramount importance. This introduction delves into the techniques employed in ZSL for NLP, focusing on their role in facilitating generalization across unseen tasks and domains. The fundamental premise of ZSL in NLP lies in leveraging auxiliary information during model training to bridge the semantic gap between seen and unseen classes. Unlike traditional supervised learning approaches that require labeled samples for all target tasks and domains, ZSL methods capitalize on external knowledge sources such as semantic embeddings, ontologies, or textual descriptions to impart a deeper understanding of the underlying concepts[8]. By incorporating this auxiliary information, ZSL models can make informed predictions on unseen tasks or domains, even in the absence of labeled data. This introduction sets the stage for exploring the diverse array of techniques employed in ZSL for NLP generalization. We delve into methodologies such as transfer learning, meta-learning, and few-shot learning, each offering unique solutions to the zero-shot problem. Through a comprehensive examination of these techniques, we aim to elucidate their underlying principles, strengths, and limitations, providing insights into their efficacy in enabling models to adapt to unseen tasks and domains., Furthermore, we discuss the broader implications of ZSL in NLP, including its potential to enhance the adaptability and robustness of language understanding systems[9]. By embracing ZSL techniques, NLP practitioners can navigate the

challenges posed by diverse tasks and domains, paving the way for more versatile and scalable language models. In the rapidly evolving landscape of Natural Language Processing (NLP), the ability to generalize beyond seen tasks and domains is becoming increasingly indispensable. Traditional supervised learning paradigms in NLP often rely on large amounts of labeled data specific to the tasks and domains of interest. However, in many real-world scenarios, obtaining labeled data for every conceivable task or domain is impractical or infeasible[10]. This limitation underscores the importance of Zero-Shot Learning (ZSL) techniques, which aim to equip NLP models with the capability to generalize to unseen tasks and domains without explicit supervision. Zero-shot learning in NLP represents a paradigm shift, offering a pathway to adaptability and scalability in model deployment. At its core, ZSL for NLP leverages auxiliary information, such as semantic embeddings, ontologies, or textual descriptions, to enable models to make informed predictions on unseen tasks or domains[11]. By harnessing this supplementary knowledge during training, ZSL methods strive to bridge the semantic gap between seen and unseen classes, thereby facilitating generalization. This introduction sets the stage for exploring techniques for generalizing in zero-shot learning for NLP. Traditional supervised learning paradigms often falter when confronted with scenarios lacking labeled data for all target tasks or domains. Zero-shot learning (ZSL) emerges as a compelling solution to this challenge, offering techniques that empower models to generalize beyond their training data and make informed predictions on novel tasks or domains[12]. In the rapidly evolving landscape of Natural Language Processing (NLP), the demand for models capable of adapting to new tasks and domains without extensive retraining is ever-growing. Zero-shot learning (ZSL) has emerged as a promising paradigm to address this challenge, offering techniques to enable models to generalize to unseen tasks and domains. This introduction delves into the techniques employed in zero-shot learning for NLP, focusing on their efficacy in facilitating generalization beyond the training data. The primary goal of zero-shot learning in NLP is to equip models with the ability to make informed predictions for tasks or domains not encountered during training. Unlike traditional supervised learning approaches, which rely heavily on labeled data for each target task or domain, ZSL leverages auxiliary information to bridge the semantic gap between seen and unseen classes. This auxiliary information, which may include semantic embeddings, ontologies, or textual descriptions, serves as a scaffold for transferring knowledge across related tasks or domains[13].

Zero-Shot Learning Strategies for NLP Adaptability:

In the ever-expanding domain of Natural Language Processing (NLP), the quest for models that can swiftly adapt to new tasks and domains is paramount[14]. Zero-shot learning (ZSL) stands out as a transformative approach, offering strategies to enable NLP models to generalize effectively to unseen tasks and domains without the need for extensive labeled data. This introduction delves into the landscape of zero-shot learning strategies tailored specifically for enhancing adaptability in NLP. Zero-shot learning in NLP is driven by the fundamental objective of empowering models to make accurate predictions even in scenarios where they have not been explicitly trained. Traditional supervised learning methods often falter in such situations due to their reliance on annotated data from specific tasks or domains. ZSL, however, transcends these limitations by leveraging auxiliary information, such as semantic embeddings, ontologies, or textual descriptions, to facilitate knowledge transfer across related tasks or domains[15]. In the dynamic landscape of Natural Language Processing (NLP), the ability to adapt models to unseen tasks and domains is paramount for real-world applicability. Traditional supervised learning approaches typically require large amounts of annotated data specific to each task or domain, limiting their scalability and generalization capabilities. Zero-shot learning (ZSL) strategies offer a promising avenue to address these limitations by enabling models to generalize to novel tasks and domains without explicit supervision[16]. This introduction delves into the realm of zero-shot learning strategies tailored for enhancing adaptability in NLP. These strategies empower models to leverage prior knowledge and auxiliary information to make informed predictions for tasks and domains not encountered during training. Unlike conventional supervised learning paradigms, ZSL approaches exploit semantic embeddings, ontologies, or textual descriptions to bridge the semantic gap between known and unknown classes. The fundamental principle underlying zero-shot learning in NLP is the ability to transfer knowledge from seen to unseen classes using intermediate representations. This transfer of knowledge is facilitated by various techniques, including transfer learning, meta-learning, and few-shot learning methodologies. Each strategy offers unique advantages in terms of adaptability, scalability, and generalization performance[17]. Natural Language Processing (NLP) has seen remarkable advancements in recent years, fueled by the availability of vast amounts of annotated data and powerful deep learning models. However, a significant challenge remains: adapting NLP systems to new tasks and domains without access to

labeled examples. Zero-shot learning (ZSL) strategies offer a promising avenue to address this challenge by enabling models to generalize to unseen tasks and domains. This introduction delves into the realm of zero-shot learning strategies tailored specifically for enhancing NLP adaptability[18]. The essence of zero-shot learning lies in its ability to leverage auxiliary information during training, allowing models to make predictions for classes or domains not encountered during the learning phase. In the context of NLP, this auxiliary information can take various forms, including semantic embeddings, ontologies, or textual descriptions, which serve as bridges to transfer knowledge across related tasks or domains. The core objective of zero-shot learning strategies in NLP is to equip models with the capability to understand and perform adequately on unseen tasks or domains[19]. This entails exploring innovative approaches that go beyond traditional supervised learning paradigms, which rely heavily on labeled data for each specific task or domain. Zero-shot learning opens up new possibilities for NLP systems to adapt and generalize, thereby extending their applicability to diverse real-world scenarios. The importance of ZSL strategies in NLP cannot be overstated, particularly in scenarios where data scarcity or rapid domain shifts pose significant challenges. By equipping NLP models with the capability to learn from auxiliary information and generalize to new tasks and domains, ZSL strategies pave the way for more scalable and adaptable NLP systems. These strategies encompass a range of methodologies, including transfer learning, meta-learning, and few-shot learning approaches, each offering unique advantages in enabling zero-shot generalization[20].

Conclusion:

In conclusion, ZSL techniques represent a paradigm shift in NLP, empowering models to transcend the limitations of traditional supervised learning and adapt to new tasks and domains with ease. By leveraging auxiliary information such as semantic embeddings, ontologies, or textual descriptions, ZSL approaches bridge the semantic gap between seen and unseen classes, enabling models to make informed predictions on novel tasks and domains. Transfer learning has emerged as a cornerstone of ZSL in NLP, allowing models to leverage knowledge from related tasks or domains to bootstrap learning on unseen tasks. Meta-learning and few-shot learning techniques

offer complementary approaches, empowering models to rapidly adapt to new tasks with limited labeled data.

References:

- [1] L. Ding and D. Tao, "The University of Sydney's machine translation system for WMT19," *arXiv preprint arXiv:1907.00494*, 2019.
- [2] M. Artetxe, G. Labaka, E. Agirre, and K. Cho, "Unsupervised neural machine translation," *arXiv preprint arXiv:1710.11041*, 2017.
- [3] K. Peng *et al.*, "Towards making the most of chatgpt for machine translation," *arXiv preprint arXiv:2303.13780*, 2023.
- [4] A. Lopez, "Statistical machine translation," *ACM Computing Surveys (CSUR)*, vol. 40, no. 3, pp. 1-49, 2008.
- [5] L. Zhou, L. Ding, K. Duh, S. Watanabe, R. Sasano, and K. Takeda, "Self-guided curriculum learning for neural machine translation," *arXiv preprint arXiv:2105.04475*, 2021.
- [6] H. Wang, H. Wu, Z. He, L. Huang, and K. W. Church, "Progress in machine translation," *Engineering*, vol. 18, pp. 143-153, 2022.
- [7] L. Ding and D. Tao, "Recurrent graph syntax encoder for neural machine translation," *arXiv preprint arXiv:1908.06559*, 2019.
- [8] D. Bahdanau, K. Cho, and Y. Bengio, "Neural machine translation by jointly learning to align and translate," *arXiv preprint arXiv:1409.0473*, 2014.
- [9] L. Ding, K. Peng, and D. Tao, "Improving neural machine translation by denoising training," *arXiv preprint arXiv:2201.07365*, 2022.
- [10] M. D. Okpor, "Machine translation approaches: issues and challenges," *International Journal of Computer Science Issues (IJCSI)*, vol. 11, no. 5, p. 159, 2014.
- [11] Q. Zhong, L. Ding, J. Liu, B. Du, and D. Tao, "Can chatgpt understand too? a comparative study on chatgpt and fine-tuned bert," *arXiv preprint arXiv:2302.10198*, 2023.
- [12] C. Zan *et al.*, "Vega-mt: The jd explore academy translation system for wmt22," *arXiv preprint arXiv:2209.09444*, 2022.

- [13] Q. Lu, L. Ding, L. Xie, K. Zhang, D. F. Wong, and D. Tao, "Toward human-like evaluation for natural language generation with error analysis," *arXiv preprint arXiv:2212.10179*, 2022.
- [14] Q. Lu, B. Qiu, L. Ding, L. Xie, and D. Tao, "Error analysis prompting enables human-like translation evaluation in large language models: A case study on chatgpt," *arXiv preprint arXiv:2303.13809*, 2023.
- [15] B. Mahesh, "Machine learning algorithms-a review," *International Journal of Science and Research (IJSR).[Internet]*, vol. 9, no. 1, pp. 381-386, 2020.
- [16] Q. Zhong *et al.*, "Toward efficient language model pretraining and downstream adaptation via self-evolution: A case study on superglue," *arXiv preprint arXiv:2212.01853*, 2022.
- [17] G. Bonaccorso, *Machine learning algorithms*. Packt Publishing Ltd, 2017.
- [18] Q. Zhong *et al.*, "Bag of tricks for effective language model pretraining and downstream adaptation: A case study on glue," *arXiv preprint arXiv:2302.09268*, 2023.
- [19] C. Sammut and G. I. Webb, *Encyclopedia of machine learning*. Springer Science & Business Media, 2011.
- [20] Y. Lei, L. Ding, Y. Cao, C. Zan, A. Yates, and D. Tao, "Unsupervised Dense Retrieval with Relevance-Aware Contrastive Pre-Training," *arXiv preprint arXiv:2306.03166*, 2023.