



## P versus NP

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## Abstract

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$P$  versus  $NP$  is considered as one of the most important open problems in computer science. This consists in knowing the answer of the following question: Is  $P$  equal to  $NP$ ? It was essentially mentioned in 1955 from a letter written by John Nash to the United States National Security Agency. However, a precise statement of the  $P$  versus  $NP$  problem was introduced independently by Stephen Cook and Leonid Levin. Since that date, all efforts to find a proof for this problem have failed. It is one of the seven Millennium Prize Problems selected by the Clay Mathematics Institute to carry a US 1,000,000 prize for the first correct solution. Another major complexity class is  $FNP$ -complete, where  $MAX$ -3SAT is a well-known  $FNP$ -complete problem. Given a set  $I$  of Boolean formulas in 3CNF of  $n$  variables and  $m$  clauses, the combinatorial optimization problem  $SELECTOR$ - $MAX$ -3SAT consists in selecting the formula with the truth assignment that satisfies the largest number of clauses between all the Boolean formulas in  $I$ , where every clause from the formulas in  $I$  can be unsatisfied for some truth assignment. We prove there is an exact polynomial time algorithm for  $SELECTOR$ - $MAX$ -3SAT. As result, we obtain that the complexity class  $P$  is equal to  $NP$ .

**2012 ACM Subject Classification** Theory of computation  $\rightarrow$  Parameterized complexity and exact algorithms; Theory of computation  $\rightarrow$  Complexity classes; Theory of computation  $\rightarrow$  Problems, reductions and completeness

**Keywords and phrases** combinatorial optimization, complexity classes, polynomial time, reduction, logarithmic space, one-way

## 1 Introduction

The  $P$  versus  $NP$  problem is a major unsolved problem in computer science [7]. This is considered by many to be the most important open problem in the field [7]. The precise statement of the  $P = NP$  problem was introduced in 1971 by Stephen Cook in a seminal paper [7]. In 2012, a poll of 151 researchers showed that 126 (83%) believed the answer to be no, 12 (9%) believed the answer is yes, 5 (3%) believed the question may be independent of the currently accepted axioms and therefore impossible to prove or disprove, 8 (5%) said either do not know or do not care or don't want the answer to be yes nor the problem to be resolved [13].

The  $P = NP$  question is also singular in the number of approaches that researchers have brought to bear upon it over the years [10]. From the initial question in logic, the focus moved to complexity theory where early work used diagonalization and relativization techniques [10]. It was showed that these methods were perhaps inadequate to resolve  $P$  versus  $NP$  by demonstrating relativized worlds in which  $P = NP$  and others in which  $P \neq NP$  [4]. This shifted the focus to methods using circuit complexity and for a while this approach was deemed the one most likely to resolve the question [10]. Once again, a negative result showed that a class of techniques known as "Natural Proofs" that subsumed the above could not separate the classes  $NP$  and  $P$ , provided one-way functions exist [21]. There has been speculation that resolving the  $P = NP$  question might be outside the domain of mathematical techniques [10]. More precisely, the question might be independent of standard axioms of set theory [10]. Some results have showed that some relativized versions of the  $P = NP$  question are independent of reasonable formalizations of set theory [14].

In 1936, Turing developed his theoretical computational model [22]. The deterministic and nondeterministic Turing machines have become in two of the most important definitions related to this theoretical model for computation [22]. A deterministic Turing machine has only one next action for each step defined in its program or transition function [22]. A nondeterministic Turing machine could contain more than one action defined for each step of its program, where this one is no longer a function, but a relation [22]. Another relevant advance in the last century has been the definition of a complexity class. A language over an alphabet is any set of strings made up of symbols from that alphabet [8]. A complexity class is a set of problems, which are represented as a language, grouped by measures such as the running time, memory, etc [8].  $NP$  is the complexity class which contains those languages that can be decided in polynomial time by nondeterministic Turing machines.

A major complexity class is *Sharp-P* (denoted as  $\#P$ ) [23]. This can be defined by the class of function problems of the form “compute  $f(x)$ ”, where  $f$  is the number of accepting paths of a nondeterministic Turing machines, where this machine always accepts in polynomial time [23]. In previous years there has been great interest in the verification or checking of computations [17]. Interactive proofs introduced by Goldwasser, Micali and Rackoff and Babai can be viewed as a model of the verification process [17]. Dwork and Stockmeyer and Condon have studied interactive proofs where the verifier is a space bounded computation instead of the original model where the verifier is a time bounded computation [17]. In addition, Blum and Kannan have studied another model where the goal is to check a computation based solely on the final answer [17]. More about probabilistic logarithmic space verifiers and the complexity class  $NP$  has been investigated on a technique of Lipton [17]. We show some results about the logarithmic space verifiers applied to a problem in the class  $\#P$ . In this way, we prove there is a polynomial time algorithm for a very hard combinatorial optimization problem.

## 2 Materials & Methods

### 2.1 Polynomial time verifiers

Let  $\Sigma$  be a finite alphabet with at least two elements, and let  $\Sigma^*$  be the set of finite strings over  $\Sigma$  [3]. A Turing machine  $M$  has an associated input alphabet  $\Sigma$  [3]. For each string  $w$  in  $\Sigma^*$  there is a computation associated with  $M$  on input  $w$  [3]. We say that  $M$  accepts  $w$  if this computation terminates in the accepting state, that is  $M(w) = \text{“yes”}$  [3]. Note that  $M$  fails to accept  $w$  either if this computation ends in the rejecting state, that is  $M(w) = \text{“no”}$ , or if the computation fails to terminate, or the computation ends in the halting state with some output, that is  $M(w) = y$  (when  $M$  outputs the string  $y$  on the input  $w$ ) [3].

The language accepted by a Turing machine  $M$ , denoted  $L(M)$ , has an associated alphabet  $\Sigma$  and is defined by:

$$L(M) = \{w \in \Sigma^* : M(w) = \text{“yes”}\}.$$

Moreover,  $L(M)$  is decided by  $M$ , when  $w \notin L(M)$  if and only if  $M(w) = \text{“no”}$  [8]. We denote by  $t_M(w)$  the number of steps in the computation of  $M$  on input  $w$  [3]. For  $n \in \mathbb{N}$  we denote by  $T_M(n)$  the worst case run time of  $M$ ; that is:

$$T_M(n) = \max\{t_M(w) : w \in \Sigma^n\}$$

where  $\Sigma^n$  is the set of all strings over  $\Sigma$  of length  $n$  [3]. We say that  $M$  runs in polynomial time if there is a constant  $k$  such that for all  $n$ ,  $T_M(n) \leq n^k + k$  [3]. In other words, this

means the language  $L(M)$  can be decided by the Turing machine  $M$  in polynomial time. Therefore,  $P$  is the complexity class of languages that can be decided by deterministic Turing machines in polynomial time [8]. A verifier for a language  $L_1$  is a deterministic Turing machine  $M$ , where:

$$L_1 = \{w : M(w, c) = \text{“yes” for some string } c\}.$$

We measure the time of a verifier only in terms of the length of  $w$ , so a polynomial time verifier runs in polynomial time in the length of  $w$  [3]. A verifier uses additional information, represented by the symbol  $c$ , to verify that a string  $w$  is a member of  $L_1$ . This information is called certificate.  $NP$  is also the complexity class of languages defined by polynomial time verifiers [20].

A decision problem in  $NP$  can be restated in this way: There is a string  $c$  with  $M(w, c) = \text{“yes”}$  if and only if  $w \in L_1$ , where  $L_1$  is defined by the polynomial time verifier  $M$  [20]. The function problem associated with  $L_1$ , denoted  $FL_1$ , is the following computational problem: Given  $w$ , find a string  $c$  such that  $M(w, c) = \text{“yes”}$  if such string exists; if no such string exists, then reject, that is, return  $\text{“no”}$  [20]. The complexity class of all function problems associated with languages in  $NP$  is called  $FNP$  [20].  $FP$  is the complexity class that contains those problems in  $FNP$  which can be solved in polynomial time [20].

To attack the  $P$  versus  $NP$  question the concept of  $NP$ -completeness has been very useful [12]. The equivalent definition for the function problems is the  $FNP$ -completeness [20]. A principal  $NP$ -complete problem is  $SAT$  [12]. An instance of  $SAT$  is a Boolean formula  $\phi$  which is composed of:

1. Boolean variables:  $x_1, x_2, \dots, x_n$ ;
2. Boolean connectives: Any Boolean function with one or two inputs and one output, such as  $\wedge$ (AND),  $\vee$ (OR),  $\neg$ (NOT),  $\Rightarrow$ (implication),  $\Leftrightarrow$ (if and only if);
3. and parentheses.

A truth assignment for a Boolean formula  $\phi$  is a set of values for the variables in  $\phi$ . On the one hand, a satisfying truth assignment is a truth assignment that causes  $\phi$  to be evaluated as true. On the other hand, a truth assignment that causes  $\phi$  to be evaluated as false is a unsatisfying truth assignment. A Boolean formula with a satisfying truth assignment is satisfiable and without any satisfying truth assignment is unsatisfiable. The problem  $SAT$  asks whether a given Boolean formula is satisfiable [12].

A literal in a Boolean formula is an occurrence of a variable or its negation [8]. A Boolean formula is in conjunctive normal form, or  $CNF$ , if it is expressed as an AND of clauses, each of which is the OR of one or more literals [8]. A Boolean formula is in 3-conjunctive normal form or  $3CNF$ , if each clause has exactly three distinct literals [8].

For example, the Boolean formula:

$$(x_1 \vee \neg x_1 \vee \neg x_2) \wedge (x_3 \vee x_2 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee \neg x_4)$$

is in  $3CNF$ . The first of its three clauses is  $(x_1 \vee \neg x_1 \vee \neg x_2)$ , which contains the three literals  $x_1$ ,  $\neg x_1$ , and  $\neg x_2$ . Another relevant  $NP$ -complete language is  $3CNF$  satisfiability, or  $3SAT$  [8]. In  $3SAT$ , it is asked whether a given Boolean formula  $\phi$  in  $3CNF$  is satisfiable. Given a  $3CNF$  formula,  $MAX-3SAT$  consists in finding a truth assignment that satisfies the largest number of clauses.  $MAX-3SAT$  belongs to  $FNP$ -complete [20].

An important complexity is  $Sharp-P$  (denoted as  $\#P$ ) [23]. We can also define the class  $\#P$  using polynomial time verifiers. Let  $\{0, 1\}^*$  be the infinite set of binary strings, a function

$f : \{0, 1\}^* \rightarrow \mathbb{N}$  is in  $\#P$  if there exists a polynomial time verifier  $M$  such that for every  $x \in \{0, 1\}^*$ ,

$$f(x) = |\{y : M(x, y) = \text{“yes”}\}|$$

where  $|\dots|$  denotes the cardinality set function [3].  $\#P$ -complete is another complexity class. A problem is  $\#P$ -complete if and only if it is in  $\#P$ , and every problem in  $\#P$  can be reduced to it by a polynomial time counting reduction [20].

## 2.2 Logarithmic space verifiers

A logarithmic space Turing machine has a read-only input tape, a write-only output tape, and read/write work tapes [22]. The work tapes may contain at most  $O(\log n)$  symbols [22]. In computational complexity theory,  $L$  is the complexity class containing those decision problems that can be decided by a deterministic logarithmic space Turing machine [20].  $NL$  is the complexity class containing the decision problems that can be decided by a nondeterministic logarithmic space Turing machine [20].

A logarithmic space transducer is a Turing machine with a read-only input tape, a write-only output tape, and read/write work tapes [22]. The work tapes must contain at most  $O(\log n)$  symbols [22]. A logarithmic space transducer  $M$  computes a function  $f : \Sigma^* \rightarrow \Sigma^*$ , where  $f(w)$  is the string remaining on the output tape after  $M$  halts when it is started with  $w$  on its input tape [22]. We call  $f$  a logarithmic space computable function [22]. We say that a language  $L_1 \subseteq \{0, 1\}^*$  is logarithmic space reducible to a language  $L_2 \subseteq \{0, 1\}^*$ , written  $L_1 \leq_l L_2$ , if there exists a logarithmic space computable function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that for all  $x \in \{0, 1\}^*$ :

$$x \in L_1 \text{ if and only if } f(x) \in L_2.$$

The logarithmic space reduction is used in the definition of the complete languages for the classes  $L$  and  $NL$  [20].

We can give a certificate-based definition for  $NL$  [3]. The certificate-based definition of  $NL$  assumes that a logarithmic space Turing machine has another separated read-only tape [3]. On each step of the machine, the machine's head on that tape can either stay in place or move to the right [3]. In particular, it cannot reread any bit to the left of where the head currently is [3]. For that reason, this kind of special tape is called “read-once” [3].

► **Definition 1.** A language  $L_1$  is in  $NL$  if there exists a deterministic logarithmic space Turing machine  $M$  with an additional special read-once input tape polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$  such that for every  $x \in \{0, 1\}^*$ :

$$x \in L_1 \Leftrightarrow \exists u \in \{0, 1\}^{p(|x|)} \text{ such that } M(x, u) = \text{“yes”}$$

where by  $M(x, u)$  we denote the computation of  $M$  where  $x$  is placed on its input tape, and the certificate  $u$  is placed on its special read-once tape, and  $M$  uses at most  $O(\log|x|)$  space on its read/write tapes for every input  $x$ , where  $[\dots]$  is the bit-length function [3].  $M$  is called a logarithmic space verifier [3].

An interesting complexity class is *Sharp-L* (denoted as  $\#L$ ).  $\#L$  has the same relation to  $L$  as  $\#P$  does to  $P$  [2]. We can define the class  $\#L$  using logarithmic space verifiers as well.

► **Definition 2.** Let  $\{0, 1\}^*$  be the infinite set of binary strings, a function  $f : \{0, 1\}^* \rightarrow \mathbb{N}$  is in  $\#L$  if there exists a logarithmic space verifier  $M$  such that for every  $x \in \{0, 1\}^*$ ,

$$f(x) = |\{u : M(x, u) = \text{“yes”}\}|$$

where  $|\dots|$  denotes the cardinality set function [2].

The two-way Turing machines may move their head on the input tape into two-way (left and right directions) while the one-way Turing machines are not allowed to move the head on the input tape to the left [19]. Hartmanis and Mahaney have investigated the classes  $1L$  and  $1NL$  of languages recognizable by deterministic one-way logarithmic space Turing machine and nondeterministic one-way logarithmic space Turing machine, respectively [15].

► **Lemma 3.**  *$NL$  is closed under nondeterministic logarithmic space reductions to every language in  $1NL$ .*

**Proof.** Suppose, we have two languages  $L_1$  and  $L_2 \in 1NL$ , such that there is a nondeterministic logarithmic space Turing machine  $M$  which makes a reduction from  $x \in L_1$  into  $M(x) \in L_2$ . Besides, we assume there is a nondeterministic one-way logarithmic space Turing machine  $M'$  which decides  $L_2$ . Hence, we only need to prove that  $M'(M(x))$  is a nondeterministic logarithmic space Turing machine. The solution to this problem is simple: We do not explicitly store the output result of  $M$  in the work tapes of  $M'$ . Instead, whenever  $M'$  needs to move the head on the input tape (this tape will be the output tape of  $M$ ), then we continue the computation of  $M$  on input  $x$  long enough for it to produce the new output symbol; this is the symbol that will be the next scanned symbol on the input tape of  $M'$ .

If  $M'$  only needs to read currently from the work tapes, then we just pause the computation of  $M$  on the input  $x$  and continue the computation of  $M'$  until this needs to move to the right on the input tape. We can always continue the simulation, because  $M'$  never moves the head on the input tape to the left. We only accept when the machine  $M$  enters in the halting state and  $M'$  enters in the accepting state otherwise we reject. It is clear that this simulation indeed computes  $M'(M(x))$  in a nondeterministic logarithmic space. In this way, we obtain  $x \in L_1$  if and only if  $M'(M(x)) = \text{“yes”}$  which is a clear evidence that  $L_1$  is in  $NL$ . ◀

We can give an equivalent definition for  $NL$ , but this time the output is a string which belongs to a language in  $1NL$ .

► **Definition 4.** *A language  $L_1$  is in  $NL$  if there exists another nonempty language  $L_2 \in 1NL$  and a deterministic logarithmic space Turing machine  $M$  with an additional special read-once input tape polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$  such that for every  $x \in \{0, 1\}^*$ :*

$$x \in L_1 \Leftrightarrow \exists u \in \{0, 1\}^{p(|x|)} \text{ such that } M(x, u) = y, \text{ where } y \in L_2$$

and by  $M(x, u) = y$  we denote the computation of  $M$  where  $x$  is placed on its input tape, and  $y$  is the remaining string in the output tape on  $M$  after the halting state, and the certificate  $u$  is placed on its special read-once tape, and  $M$  uses at most  $O(\log|x|)$  space on its read/write tapes for every input  $x$ , where  $[\dots]$  is the bit-length function [3]. We call  $M$  a one-way logarithmic space verifier. This definition is still valid, because of Lemma 3.

According to the previous definition, we can redefine  $\#L$  as follows:

► **Definition 5.** *Let  $\{0, 1\}^*$  be the infinite set of binary strings, a function  $f : \{0, 1\}^* \rightarrow \mathbb{N}$  is in  $\#L$  if there exists another nonempty language  $L_2 \in 1NL$ , and a nondeterministic one-way logarithmic space Turing machine  $M'$  which decides  $L_2$ , and a one-way logarithmic space verifier  $M$  such that for every  $x \in \{0, 1\}^*$ ,*

$$f(x) = |\{(u, p) : M(x, u) = y, \text{ where } y \in L_2 \text{ and } p \text{ is an accepting path of } M'(y)\}|$$

and  $|\dots|$  denotes the cardinality set function. This definition is still valid under the result of Lemma 3.

### 3 Results

We define a new problem:

► **Definition 6.** *EXACTLY-THRICE*

*INSTANCE:* A unary string  $0^q$  and a collection of binary strings, such that each element in the collection represents a power number in base 2 with a bit-length lesser than or equal to  $q$ . The collection of numbers is represented by an array  $N$ .

*QUESTION:* Is there an element repeated exactly thrice in  $N$ ?

► **Theorem 7.** *EXACTLY-THRICE*  $\in$   $1NL$ .

**Proof.** Given an instance  $(0^q, N)$  of *EXACTLY-THRICE*, then we can read its elements from left to right on the input tape, verify that every element in the collection is a binary string, and finally check whether every element in  $N$  has a bit-length lesser than or equal to  $q$ . In addition, we can nondeterministically pick a binary integer  $d$  between 1 and  $q$  and accept in case of there exists the number  $2^{d-1}$  exactly thrice in  $N$ .

We can make all this computation in a nondeterministic one-way using logarithmic space. Certainly, the verification of the membership of  $2^{d-1}$  in  $N$  could be done in logarithmic space, since it is trivial to check whether a binary string represents the power  $2^{d-1}$ . Besides, we can store a logarithmic amount of symbols, because of  $d$  has an exponential more succinct representation in relation to the unary string  $0^q$  [20]. Moreover, the variables that we could use for the iteration of the elements in  $N$  have a logarithmic space in relation to the length of the instance  $(0^q, N)$ .

We never need to move to the left on the input tape for the acceptance or rejection of the elements in *EXACTLY-THRICE* in a nondeterministic logarithmic space. We describe this nondeterministic one-way logarithmic space computation in the Algorithm 1. In this algorithm, we assume a value does not exist in the array  $N$  into the cell of some position  $i$  when  $N[i] = \text{undefined}$ . To sum up, we actually prove that *EXACTLY-THRICE* is in  $1NL$ . ◀

Let's consider a counting problem:

► **Definition 8.** *#CLAUSES-3UNSAT*

*INSTANCE:* Two natural numbers  $n, m$ , and a Boolean formula  $\phi$  in 3CNF of  $n$  variables and  $m$  clauses. The clauses are represented by an array  $C$ , such that  $C$  represents a set of  $m$  set elements, where  $C[i] = S_i$  if and only if  $S_i$  is exactly the set of literals into a clause  $c_i$  in  $\phi$  for  $1 \leq i \leq m$ . Besides, each variable in  $\phi$  is represented by a unique integer between 1 and  $n$ . In addition, a negative or positive integer represents a negated or non-negated literal, respectively. Let  $A_i$  be a truth assignment to the  $n$  variables for  $1 \leq i \leq 2^n$ . Let  $U(C, A_i)$  be the number of unsatisfied clauses in  $C$  for  $A_i$ . Then, the number of unsatisfied clauses between all the truth assignments in  $\phi$  is equal to  $\sum_{i=1}^{2^n} U(C, A_i)$ .

*ANSWER:* The number of unsatisfied clauses between all the truth assignments in  $\phi$ .

► **Theorem 9.** *#CLAUSES-3UNSAT*  $\in$   $FP$ .

**Proof.** We are going to show there is a deterministic Turing machine  $M$ , where:

$$\#CLAUSES-3UNSAT = \{w : M(w, u) = y, \exists u \text{ such that } y \in \text{EXACTLY-THRICE}\}$$

when  $M$  runs in logarithmic space in the length of  $w$ ,  $u$  is placed on the special read-once tape of  $M$ , and  $u$  is polynomially bounded by  $w$ . Given an instance  $(n, m, C)$  of

**ALGORITHM 1: ONE-WAY-ALGO****Data:**  $(0^q, N)$  where  $(0^q, N)$  is an instance of *EXACTLY-THRICE***Result:** A nondeterministic acceptance or rejection in one-way logarithmic space

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// Get the length of the unary string  $0^q$  as a binary string
 $q \leftarrow \text{length}(0^q)$ ;
// Generate nondeterministically an arbitrary integer between 1 and  $q$ 
 $d \leftarrow \text{random}(1, q)$ ;
 $t \leftarrow 0$ ;
// Initial position in  $N$ 
 $i \leftarrow 1$ ;
while  $N[i] \neq \text{undefined}$  do
   $s \leftarrow 0$ ;
  //  $N[i][j]$  represents the  $j^{\text{th}}$  digit of the string in  $N[i]$ 
  for  $j \leftarrow 1$  to  $q + 1$  do
    if  $j = q + 1$  then
      if  $N[i][j] \neq \text{undefined}$  then
        // There exists an element with bit-length greater than  $q$ 
        return "no";
      end
    end
    else if  $(j = 1 \wedge N[i][j] \neq 1) \vee (j > 1 \wedge N[i][j] = 1) \vee N[i][j] \notin \{0, 1, \text{undefined}\}$  then
      // The element  $N[i]$  is not a binary string
      return "no";
    end
    else if  $N[i][j] = \text{undefined}$  then
      // Break the current for loop statement
      break;
    end
    else
      // Store the current position of digit  $N[i][j]$  in  $N[i]$ 
       $s \leftarrow s + 1$ ;
    end
  end
  end
  if  $s = d \wedge t < 4$  then
    // The element  $N[i]$  is equal to  $2^{d-1}$ 
     $t \leftarrow t + 1$ ;
  end
   $i \leftarrow i + 1$ ;
end
if  $t = 3$  then
  // The element  $2^{d-1}$  appears exactly thrice in  $N$ 
  return "yes";
end
else
  return "no";
end

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**ALGORITHM 2: CHECK-ALGO**

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**Data:**  $(n, m, C)$  where  $(n, m, C)$  is an instance of #*CLAUSES-3UNSAT***Result:** A logarithmic space subroutine

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if  $n < 1 \vee m < 1$  then
  | //  $n$  or  $m$  is not a natural number
  | return "no";
end
for  $i \leftarrow 1$  to  $m + 1$  do
  | if  $(i < m + 1 \wedge C[i] = \text{undefined}) \vee (i = m + 1 \wedge C[i] \neq \text{undefined})$  then
  | | //  $C$  does not contain exactly  $m$  sets
  | | return "no";
  | end
end
for  $i \leftarrow 1$  to  $n$  do
  |  $t \leftarrow 0$ ;
  | foreach  $j \leftarrow 1$  to  $m$ ;  $C[j] = \{x, y, z\}$  do
  | | //  $\text{abs}(\dots)$  denotes the absolute value operation
  | | if  $\text{abs}(x) < 1 \vee \text{abs}(y) < 1 \vee \text{abs}(z) < 1 \vee \text{abs}(x) > n \vee \text{abs}(y) > n \vee \text{abs}(z) > n$  then
  | | | //  $C$  does not contain exactly  $n$  variables from 1 to  $n$ 
  | | | return "no";
  | | end
  | | if  $t = 0 \wedge (i = \text{abs}(x) \vee i = \text{abs}(y) \vee i = \text{abs}(z))$  then
  | | | // Store the existence of the variable  $i$  in  $C$ 
  | | |  $t \leftarrow 1$ ;
  | | end
  | end
  | if  $t = 0$  then
  | | //  $C$  does not contain the variable  $i$ 
  | | return "no";
  | end
end
for  $i \leftarrow 1$  to  $m - 1$  do
  | for  $j \leftarrow i + 1$  to  $m$  do
  | | //  $\cap$  denotes the intersection set operation
  | | if  $C[i] \cap C[j] = C[i]$  then
  | | | // The array  $C$  is not exactly a "set" of set elements
  | | | return "no";
  | | end
  | | //  $|\dots|$  denotes the cardinality set function
  | | if  $|C[i]| + |C[j]| \neq 6$  then
  | | | //  $C[i]$  or  $C[j]$  does not contain exactly three elements
  | | | return "no";
  | | end
  | end
end
  | // The instance  $(n, m, C)$  is appropriate for #CLAUSES-3UNSAT
  | return "yes";

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**ALGORITHM 3: VERIFIER-ALGO**

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**Data:**  $(n, m, C, A)$  where  $(n, m, C)$  is an instance of  $\#CLAUSES-3UNSAT$  and  $A$  is a certificate

**Result:** A one-way logarithmic space verifier

**if**  $CHECK-ALGO(n, m, C) = \text{"no"}$  **then**

    //  $(n, m, C)$  is not an appropriate instance of  $\#CLAUSES-3UNSAT$   
    **return** "no";

**end**

**else**

**output**  $0^m$ ;

**end**

// Minimum current variable during the iteration of the array  $A$

$x \leftarrow 0$ ;

**for**  $i \leftarrow 1$  **to**  $n + 1$  **do**

**if**  $i = n + 1$  **then**

**if**  $A[i] \neq \text{undefined}$  **then**

            // The array  $A$  contains more than  $n$  elements

**return** "no";

**end**

**end**

**else if**  $A[i] = \text{undefined} \vee \text{abs}(A[i]) < 1 \vee \text{abs}(A[i]) > n \vee \text{abs}(A[i]) \leq x$  **then**

        // The certificate  $A$  is not appropriate

**return** "no";

**end**

**else**

        //  $\text{abs}(\dots)$  denotes the absolute value operation

$x \leftarrow \text{abs}(A[i])$ ;

$y \leftarrow A[i]$ ;

**for**  $j \leftarrow 1$  **to**  $m$  **do**

**if**  $y \in C[j]$  **then**

                // Output the number  $2^{j-1}$  when the set  $C[j]$  contains the literal  $y$

**output** ,1;

**if**  $j - 1 > 0$  **then**

**output**  $0^{j-1}$ ;

**end**

**end**

**end**

**end**

**end**

---

$\#CLAUSES-3UNSAT$ , we firstly check whether this instance has an appropriate representation according to the constraints introduced in the Definition 8. The constraints for the Definition 8 are the following ones:

1. The array  $C$  must contain exactly  $m$  sets and,
2. each variable must be represented by a unique integer between 1 and  $n$  and,
3. there are no two equals sets inside of  $C$  and finally,
4. every set element must contain exactly three distinct literals.

All these requirements are verified in the logarithmic space Algorithm 2, where this subroutine decides whether the instance has an appropriate representation according to the Definition 8. After that verification, we use a certificate as an array  $A$ , such that this consists in an array of  $n$  different integer numbers in ascending absolute value order. We read at once the elements of the array  $A$  and we reject whether this is not an appropriate certificate: That is, when the absolute value of the numbers are not sorted in ascending order, or the array  $A$  does not contain exactly  $n$  elements, or the array  $A$  contains a number that its absolute value is not between 1 and  $n$ , since every variable is represented by an integer between 1 and  $n$  in  $C$ .

While we read each element  $x$  of the array  $A$ , then we copy the binary numbers  $2^{j-1}$  that represent the sets  $C[j]$  which contain the literal  $x$  just creating another instance  $(0^q, N)$  of  $EXACTLY-THRICE$ , where the value of  $q$  is equal to  $m$ . Since the array  $A$  does not contain repeated elements, then we could correspond each certificate  $A$  to a truth assignment for  $\phi$  with a representation of all the variables in  $\phi$ , such that the literals in  $A$  are false. We know a set  $C[j]$  that represents a clause is false if and only if the three literals in  $C[j]$  are false. Therefore, the evaluation as false into the literals of the array  $A$  corresponds to a unsatisfying truth assignment in  $\phi$  if and only if we write some number  $2^{j-1}$  thrice to the output tape, where  $2^{j-1}$  represents a set  $C[j]$  for some  $1 \leq j \leq m$ .

Furthermore, we can make this verification in logarithmic space such that the array  $A$  is placed on the special read-once tape, because we read at once the elements in the array  $A$ . Indeed, the variables that we could use for the iteration of the elements in  $A$  and  $C$  have a logarithmic space in relation to the length of the instance  $(n, m, C)$ . Hence, we only need to iterate from the elements of the array  $A$  to verify whether the array is an appropriate certificate and write to the output tape the representation as a power of two of the sets in  $C$  that contain the literals in  $A$ . This logarithmic space verification will be the Algorithm 3. We assume whether a value does not exist in the arrays  $A$  or  $C$  into the cell of some position  $i$  when  $A[i] = \text{undefined}$  or  $C[i] = \text{undefined}$ , respectively.

The Algorithm 3 is a one-way logarithmic space verifier, since this never moves the head on the special read-once tape to the left, where it is placed the certificate  $A$ . Moreover, for every unsatisfying truth assignment represented by the array  $A$ , then the output of this one-way logarithmic space verifier will always belong to the language  $EXACTLY-THRICE$ , where we know that  $EXACTLY-THRICE \in 1NL$  as a consequence of Theorem 7. In addition, every appropriate certificate  $A$  is always polynomially bounded by the instance  $(n, m, C)$ .

Consequently, we demonstrate that  $\#CLAUSES-3UNSAT$  belongs to the complexity class  $\#L$  under the Definition 5. Certainly, every truth assignment in  $\phi$  corresponds to a single certificate in our one-way logarithmic space verifier. In addition, the number of accepting paths in the Algorithm 1 for the generated instance  $(0^q, N)$  of  $EXACTLY-THRICE$  is exactly the number of unsatisfied clauses for a single truth assignment.

The number of accepting paths in the Algorithm 1 for a single instance is equal to the number of different powers of two that exist exactly thrice in the array  $N$ . Actually, this

corresponds to the number of unsatisfied clauses for the truth assignment that represents the certificate  $A$ . We know that  $\#L$  is contained in the class  $FP$  [2], [6], [3]. As result,  $\#L$  remains in the class  $FP$  under the Definition 5 as a consequence of Lemma 3. In conclusion, we show that  $\#CLAUSES-3UNSAT$  is indeed in  $FP$ .  $\blacktriangleleft$

Let's consider an interesting problem:

► **Definition 10. SELECTOR-MAX-3SAT**

*INSTANCE:* A set  $I$  of Boolean formulas in  $3CNF$  of  $n$  variables and  $m$  clauses, where every clause from the formulas in  $I$  can be unsatisfied for some truth assignment. The clauses in a Boolean formula  $\phi_j$  in  $I$  is represented by a set  $S_j \in I$ , such that  $S_j$  represents a set of  $m$  set elements, where  $S_{i,j} \in S_j$  if and only if  $S_{i,j}$  is exactly the set of literals into a clause  $c_i$  in  $\phi_j$  for  $1 \leq i \leq m$ . Besides, each variable from the formulas in  $I$  is represented by a unique integer between 1 and  $n$ . In addition, a negative or positive integer represents a negated or non-negated literal, respectively.

*ANSWER:* The formula with the truth assignment that satisfies the largest number of clauses between all the Boolean formulas in  $I$ .

---

**ALGORITHM 4: SELECTOR-ALGO**

---

**Data:**  $I$  where  $I$  is a set of Boolean formulas in  $3CNF$  of  $n$  variables and  $m$  clauses

**Result:** A polynomial time algorithm

```

if  $I$  is not an appropriate instance of SELECTOR-MAX-3SAT then
  | return "no";
end
// Initialize with the greatest value
 $min \leftarrow m \times 2^n$ ;
// Initialize a set of clauses as empty
 $S \leftarrow \emptyset$ ;
foreach  $S_j \in I$  do
  |  $min_j \leftarrow POLY-ALGO(S_j)$ ;
  | if  $min_j \leq min$  then
  |   | //  $S_j$  has big chances of containing more satisfying truth assignments
  |   |  $min \leftarrow min_j$ ;
  |   | // Update the value of  $S$ 
  |   |  $S \leftarrow S_j$ ;
  | end
end
// Return the final result
return  $S$ ;

```

---

► **Theorem 11. SELECTOR-MAX-3SAT  $\in FP$ .**

**Proof.** Consider the Algorithm 4, where  $POLY-ALGO$  is a polynomial time algorithm for  $\#CLAUSES-3UNSAT$ . Indeed,  $POLY-ALGO$  converts a set of clauses  $S$  in an appropriate instance of  $\#CLAUSES-3UNSAT$  and solve it. We state that the Algorithm 4 solves  $SELECTOR-MAX-3SAT$ . Certainly, given a set  $I$  of Boolean formulas in  $3CNF$  of  $n$  variables and  $m$  clauses represented by the sets  $S_j \in I$ , then they comply that the one which has the truth assignment that satisfies the largest number of clauses contains less unsatisfied clauses between all the truth assignments, when the formulas in  $I$  contain the same amount of clauses and variables and every clause from the formulas in  $I$  can be unsatisfied for some

truth assignment. Indeed, the truth assignment that satisfies the largest number of clauses of every Boolean formula  $\phi$  in  $I$  complies that contains the smallest amount of unsatisfied clauses between all the truth assignments in  $\phi$ . In addition, the truth assignment that satisfies the largest number of clauses in a Boolean formula  $\phi$  affects directly to the number of unsatisfied clauses within the other truth assignments in  $\phi$ . Actually, if the truth assignment that satisfies the largest number of clauses in a Boolean formula  $\phi_1$  contains less unsatisfied clauses than the truth assignment that satisfies the largest number of clauses in another Boolean formula  $\phi_2$ , then the other truth assignments in  $\phi_1$  contain less unsatisfied clauses than the other truth assignments in  $\phi_2$ , where  $\phi_1$  and  $\phi_2$  contain the same amount of clauses and variables and every clause in  $\phi_1$  and  $\phi_2$  can be unsatisfied for some truth assignment. The Algorithm 4 is computable in polynomial time due to Theorem 9. In this way, we show that *SELECTOR-MAX-3SAT* is in *FP*. ◀

► **Theorem 12.**  $P = NP$ .

**Proof.** This is a direct consequence of Theorem 11, because of *MAX-3SAT* is one of the most hard *NP*-optimization problems [20]. ◀

## 4 Conclusions

No one has been able to find a polynomial time algorithm for any of more than 300 important known *NP-complete* problems [12]. A proof of  $P = NP$  will have stunning practical consequences, because it leads to efficient methods for solving some of the important problems in *NP* [7]. The consequences, both positive and negative, arise since various *NP-complete* problems are fundamental in many fields [7].

Cryptography, for example, relies on certain problems being difficult. A constructive and efficient solution to an *NP-complete* problem such as *3SAT* will break most existing cryptosystems including: Public-key cryptography [16], symmetric ciphers [18] and one-way functions used in cryptographic hashing [9]. These would need to be modified or replaced by information-theoretically secure solutions not inherently based on *P-NP* equivalence.

There are positive consequences that will follow from rendering tractable many currently mathematically intractable problems. For instance, many problems in operations research are *NP-complete*, such as some types of integer programming and the traveling salesman problem [12]. Efficient solutions to these problems have enormous implications for logistics [7]. Many other important problems, such as some problems in protein structure prediction, are also *NP-complete*, so this will spur considerable advances in biology [5].

Since all the *NP-complete* optimization problems become easy, everything will be much more efficient [11]. Transportation of all forms will be scheduled optimally to move people and goods around quicker and cheaper [11]. Manufacturers can improve their production to increase speed and create less waste [11]. Learning becomes easy by using the principle of Occam's razor: We simply find the smallest program consistent with the data [11]. Near perfect vision recognition, language comprehension and translation and all other learning tasks become trivial [11]. We will also have much better predictions of weather and earthquakes and other natural phenomenon [11].

But such changes may pale in significance compared to the revolution an efficient method for solving *NP-complete* problems will cause in mathematics itself [7]. Research mathematicians spend their careers trying to prove theorems, and some proofs have taken decades or even centuries to find after problems have been stated [1]. For instance, Fermat's Last Theorem took over three centuries to prove [1]. A method that is guaranteed to find proofs to theorems, should one exist of a "reasonable" size, would essentially end this struggle [7].

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